A20 haploinsufficiency: A systematic review of 177 cases.

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PII: S0022-202X(23)03194-9

DOI: https://doi.org/10.1016/j.jid.2023.12.007

Reference: JID 4099

To appear in: The Journal of Investigative Dermatology

Received Date: 13 June 2023

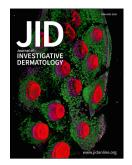
Revised Date: 4 December 2023

Accepted Date: 11 December 2023

Please cite this article as: Elhani I, Riller Q, Boursier G, Hentgen V, Rieux-Laucat F, Georgin-Lavialle S, A20 haploinsufficiency: A systematic review of 177 cases., *The Journal of Investigative Dermatology* (2024), doi: https://doi.org/10.1016/j.jid.2023.12.007.

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# 1 A20 haploinsufficiency: A systematic review of 177 cases.

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# 1 Word count

- 2 Manuscript word count: 5839 words
- 3 Abstract word count: 170
- 4 Table numbers: 1
- 5 Figures numbers: 2
- 6 Keywords
- 7 A20 haploinsufficiency, Autoinflammatory disease, TNFAIP3, Autoimmunity

# 8 Fundings

- 9 Q.R. is a recipient of an Institut Imagine MD-PhD fellowship (funded by the Fondation
- 10 Bettencourt Schueller) and a Société Nationale Française de Médecine Interne (SNFMI)
- 11 fellowship.

# 12 Acknowledgement

- 13 IE is guarantor for this work
- 14

#### 1 Abstract

A20 haploinsufficiency (HA20) is an autoinflammatory disease caused by defective 2 inactivation of NF-kB pathway. We conducted a systematic literature review of articles 3 reporting patients with TNFAIP3 mutations from 2016 to August 2023 following PRISMA 4 5 guidelines. Data of 177 patients from 65 articles were retrieved (108 women). The principal features were: mucosal ulcers (n=129), fever (n=93) followed by gastrointestinal (n=81), skin 6 features (n=76), autoimmunity (n=61) including thyroiditis (n=25) and Lupus (n=16), and joint 7 involvements (n=54). Five patients had died at the time of publication. In 54/63 patients, C-8 9 reactive protein was significantly elevated during flares, with a median of 51mg/L. Most commonly used treatment included corticosteroids and non-steroidal anti-inflammatory drugs 10 (n=32), TNF-blockers (n=29), colchicine (n=28) and methotrexate (n=14). TNFAIP3 variants 11 impacted the OTU domain in 92 cases and a Zinc finger domain in 68 cases. Geographic origin, 12 gender and variant type significantly impacted phenotype. A better understanding of the wide 13 HA20 phenotype could facilitate the diagnosis process. Much remains to be elucidated about 14 15 pathogenesis and treatment to improve outcome in HA20 patients.

3

#### 1 Introduction

A20 haploinsufficiency (HA20) is an inborn error of immunity caused by heterozygous loss-2 of-function mutations in the highly conserved gene TNFAIP3, which encodes the protein A20 3 (Yu et al. 2020). In humans, TNFAIP3 was identified in genome-wide association studies long 4 5 before the discovery of HA20, as single-nucleotide polymorphisms were associated with the development of autoimmune diseases such as Systemic Lupus Erythematous (SLE), 6 Rheumatoid arthritis (RA) and diabetes (Vereecke et al. 2014). A monogenic TNFAIP3-7 associated disease was described in 2016 upon the description of patients with heterozygous 8 9 loss-of-function mutations in this gene. Since then, the analysis of TNFAIP3 has been added to next generation sequencing (NGS) panels worldwide, allowing the diagnosis and publication 10 of hundreds new cases. These publications have enlarged the clinical spectrum of HA20, which 11 has finally evolved to be considered as a distinct entity at the crossroads between auto-12 inflammation and autoimmunity (Yu et al. 2020). 13

A20 is a 790 amino-acids protein with 8-domains consisting of an N-terminal ovarian tumor 14 (out) and a C-terminal region containing 7 zinc-finger motifs (Chen et al. 2020b). A20 possesses 15 unique ubiquitin-editing properties allowing both ubiquitination and deubiquitylation. By 16 tagging important actors of canonical NF-kB activation (RIP1, TRAF6 among others) for 17 18 degradation to the proteasome and by removing activating K63-ubiquitin from other proteins of the pathway such as NEMO (IKK $\gamma$ ), A20 acts as a negative regulator of inflammation in a 19 negative regulatory loop. It also suppresses the activation of the MAP kinases (MAPK) and 20 downregulate the activation of the NLRP3 inflammasome (Catrysse et al. 2014). Therefore, the 21 systemic inflammatory manifestations of HA20 result from the insufficient suppression of NF-22 κB, MAPK and NLRP3 activity in immune cells, especially in myeloid cells. A20 is also 23 abundantly expressed in B cells, where it inhibits the NF-kB pathway, and raises the signaling 24 threshold required for B cell proliferation and survival (Das et al. 2018). As a result, A20-25 deficient B cells are hyper-responsive to activating stimuli and susceptible to loss of tolerance 26 and to develop autoimmune diseases (Das et al. 2018). 27

To date, the phenotypic spectrum of HA20 remains unsettled and the understanding of pathogenesis incomplete. Our objective was to summarize the current knowledge on the genetic, pathophysiology and clinical features of HA20 through a systematic literature review since the description of the disease.

#### 1 **Results**

# 2 1/ Genetics and pathogenesis

Eighty-four different variants have been described including premature truncating codon and 3 missense variants (Figure 1.A). A large proportion of the 177 patients had variants impacting 4 the N-terminal OTU domain (n=92 patients, 52.3%), 47.8% of them being stop-gained (n=44) 5 and 28.3% frameshift mutants (n=26). Other patients had variants lying in the C-terminal 6 7 domain of A20, composed of 7 zinc-finger domains, (n=68 patients, 38.4 %), with mostly stopgain, frameshift or splice variants (69.1%) (Supplementary table 2). A deletion of the whole 8 TNFAIP3 gene was described in 8 patients, including 7 for which deletion comprised 9 surrounding genes, ranging from 236kb to 13.3MB. Two patients inherited HA20 from a parent 10 with low-frequency gonadal mosaicism. Both were asymptomatic and the frequency of mutant 11 allele was 10.06% and 16.7% (Kadowaki et al. 2018). Five patients displayed additional 12 variants in other genes: 3 displayed a class IV MEFV, one unclassified IL-36RN variant, and 13 one patient a complex genotype with variants in VPS13B, PIK3R1 and NFAT5 (Horita et al. 14 2019; Liang et al. 2019; Niwano et al. 2022). Of note, in this cohort, only 23.2% of the variants 15 have been tested in an ectopic expression system, 47.6% of the missense variants and 15.6% of 16 the mutations giving rise to an early stop codon (Supplementary Table 3). 17

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#### 19 2/ Epidemiology

HA20 was first described in 2016 as a new monogenic Behcet's disease (BD) and has finally 20 evolved to be considered as a distinct entity. As of August 2023, after eliminating duplicates, 21 177 cases with sufficient clinical data to be included in the review were identified. (Figure 1.B). 22 23 Ethnicity was described in 26 patients (14%) including Chinese Han (n=16), Hispanic patients (n=3), Turk (n=2), African American, Ashkenazi (n=1, each). Country of origin was indicated 24 in 51 patients (Great Britain, Japan (n=27), China (n=15), Italia (n=4), Turkey (n=3), 25 Pakistani/Indian (n=2), Great-Britain and Spain (n=1, each)). Finally, eastern European origin 26 was suggested in 26 patients (Caucasian (n=14), European American (n=10), White (n=2)). 27 Information was incomplete for 74 patients (40%). We classified patients in 4 categories: West 28 29 Asia (Turkish and Saudi patients, n=6), East Asia (Chinese, Chinese Han, Japanese patients, n=58) and Europe (Caucasian, European American, White patients, n=32), and South Asia 30 31 (Pakistani/Indian patients, n=2). Statistical analyses compared group with  $\geq 5$  patients (supplementary table 3). Patients from West Asia were 2-3 times more likely to suffer from 32

genital ulcers (p=0.03), autoimmunity and thyroiditis (p<0.001) than their counterparts.</li>
 Moreover, Skin features were more frequent in European patients. Finally, patients from West
 Asia presented with fever twice as frequently than both those from Europe and East Asia
 (p<0.01).</li>

Female to male ratio in published patients is 1.1:1 (108 women, 94 men). First symptoms
occurred in childhood or teenage years in 132/141 patients (94%) with a median age of 3 years
old [0-17]. HA20 debuted in adulthood in 9 patients, with a median age of 20 years old [18-35]
(Duan et al. 2019; Harris et al. 2018; He et al. 2020; Horita et al. 2019; Niwano et al. 2022;
Tian et al. 2022).

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## 11 3/ Clinical features (Table 1 and Figure 1.c)

Overall, HA20 is a multi-systemic disease. In 27 patients, Mucosal ulcers (including 9 with genital ulcer), fever and/or lymphadenopathies were the only symptoms. Besides, 46 Patients exhibited a single organ involvement. Finally, more than one organ was affected in 100 patients, in addition to general and mucosal features.

16 *A. Fever* 

Half of HA20 patients exhibit fever during the course of the disease (n=93). In most cases 17 (n=80), it consisted of periodic episodes of fever, which lasted for a median duration of 5 days. 18 19 The duration is variable and goes from 24 hours to 14 days. Fever attacks were not cyclic and may occur several times a month or once a year. In 16 patients, the disease manifested as a 20 21 protracted fever that required anti-inflammatory treatment to resolve (Aslani et al. 2022; Jo et al. 2022; Kadowaki et al. 2018; Kim et al. 2020; Lawless et al. 2018; Li et al. 2019; Liu et al. 22 2023; Ohnishi et al. 2017; Shaheen et al. 2021; Tian et al. 2022; Tsuchida et al. 2019). These 2 23 types of fever episodes may coexist in the same patient (Jo et al. 2022; Kadowaki et al. 2018; 24 Kim et al. 2020; Lawless et al. 2018; Shaheen et al. 2021; Tian et al. 2022; Tsuchida et al. 25 2019). 26

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#### B. Mucosal ulcers

Mucosal ulcers are a hallmark of the disease and were present in 129 patients (73%). They can
appear with or without inflammatory/febrile episodes. Mouth ulcers resemble common benign
ulcers, although extensive stomatitis have been reported. In one of the first description,

Aeschlimann *et al* described scarring mouth ulcers in a cohort of 16 patients, however it was
 never described afterwards. Therefore, scarring ulcers does not seem to be a necessary feature
 of HA20.

More than a third of HA20 patients have displayed genital ulcers during the course of the
disease (n=63), which can occur as early as in infancy. They are significantly more frequent in
females than in males (48 vs 15 patients, p=0.004). Precise localization and characteristics were
not described. Genital ulcerations have been described in 6 patients without mouth ulcers.

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#### C. Gastrointestinal manifestations

Gastrointestinal involvements affected 81 patients (46%). They include abdominal pain (48 10 patients), diarrhea (41 patients) and bloody stool (21 patients). Two main phenotypes emerge 11 12 from gut involvements: 29 patients exhibited isolated or multiple GI ulcers (Aeschlimann et al. 2018; Berteau et al. 2019; Chen et al. 2020a; Deshayes et al. 2021; Dong et al. 2019; Dong et 13 14 al. 2019; Duncan et al. 2018; El Khouri et al. 2023; He et al. 2020; Jiang et al. 2022; Kadowaki et al. 2021a; Kadowaki et al. 2018; Li et al. 2019; Liang et al. 2019; Liang et al. 2019; Mitsunaga 15 et al. 2022; Ohnishi et al. 2017; Sato et al. 2018; Shimizu et al. 2020; Suri et al. 2021; Taniguchi 16 et al. 2021; Tian et al. 2022; Tsuchida et al. 2019; Uchida et al. 2020; Wakatsuki et al. 2023; 17 Yan et al. 2021; Zanatta et al. 2022; Zhang et al. 2022; Zhang et al. 2022; Zheng et al. 2018; 18 Zou et al. 2020), while 17 patients displayed definite colitis, including 14 who also presented 19 GI ulcers (Chen et al. 2020a; He et al. 2020; Kadowaki et al. 2018; Shiraki et al. 2021b; Suri et 20 al. 2021; Taniguchi et al. 2021; Tsuchida et al. 2019; Uchida et al. 2020; Yan et al. 2021; Ye et 21 al. 2017; Zanatta et al. 2022; Zheng et al. 2018; Zou et al. 2020). This phenotype occurs in 22 significantly younger patients (6 months vs 3 years, p=0.02). It developed before the age of 6 23 in all but one patient and can therefore be classified as Very-Early Onset Inflammatory Bowel 24 Disease. The whole gastrointestinal tract can be affected by ulcers and were described in the 25 colon in 22 cases (Chen et al. 2020a; Dong et al. 2019; El Khouri et al. 2023; He et al. 2020; 26 27 Jiang et al. 2022; Mitsunaga et al. 2022; Ohnishi et al. 2017; Shimizu et al. 2020; Suri et al. 2021; Taniguchi et al. 2021; Tian et al. 2022; Tsuchida et al. 2019; Uchida et al. 2020; 28 29 Wakatsuki et al. 2023; Yan et al. 2021; Zanatta et al. 2022; Zhang et al. 2022; Zheng et al. 2018), the stomach and/or duodenum in 9 cases (Dong et al. 2019; Sato et al. 2018; Tian et al. 30 2022; Tsuchida et al. 2019; Zheng et al. 2018) and the small bowel in 6 cases (Deshayes et al. 31 2021; He et al. 2020; Kadowaki et al. 2021a; Suri et al. 2021; Tian et al. 2022). In 4 patients, 32

ulcers were restricted to the upper gastrointestinal tract (Dong et al. 2019; Kadowaki et al. 1 2021a; Ohnishi et al. 2017; Sato et al. 2018; Zhang et al. 2022). There can be findings of gastritis 2 (4 patients). Endoscopic findings of patients with colitis could be compatible with ulcerative 3 colitis (UC) in 2 patients with rectal and descending colon ulcers (Kadowaki et al. 2018; 4 Taniguchi et al. 2021). Moreover, 6 other patients exhibited histological features that could 5 suggest UC, including crypt atrophy, cryptitis, neutrophil infiltration and crypt abscesses, 6 7 although anatomical distribution of the lesions went against UC (Chen et al. 2020a; Shimizu et al. 2020; Taniguchi et al. 2021; Zanatta et al. 2022; Zheng et al. 2018; Zou et al. 2020). In 33 8 patients with GI ulcers with or without colitis, endoscopic investigations could suggest Crohn's 9 disease, although detailed data would be needed to properly classify patients. Histological 10 analyses revealed inflammatory infiltrate of neutrophils, lymphocytes and eosinophils, as well 11 as tissue granulation. Granuloma were described in 2 patients (Mitsunaga et al. 2022; Wu et al. 12 2021). 13

Perianal inflammation affected 27 patients (Aeschlimann et al. 2018; Franco-Jarava et al. 2018;
Girardelli et al. 2021; Hori et al. 2019; Jiang et al. 2022; Jo et al. 2022; Kadowaki et al. 2018;
Li et al. 2019; Liu et al. 2023; Rossi et al. 2022; Shimizu et al. 2020; Taniguchi et al. 2021;
Tian et al. 2022; Tsuchida et al. 2019; Zhang et al. 2022; Zheng et al. 2018; Zhou et al. 2016;
Zou et al. 2020). It included peri-anal ulcers, fistulae and abscesses. It was significantly more
frequent in men (17 vs 10 patients, p=0.01). Fifteen of them displayed associated GI ulcers and
could be classified as IBD.

Only 2 patients exhibited isolated GI involvements: 48 displayed joint (n=13), skin (n=18) or both (n=17) involvements, while 17 other had history of recurrent fever, and 10 other organ involvements (CNS, autoimmunity, immune deficiency). The systemic presentation of patients with GI involvement, as well as the young age of onset, may distinguish HA20 from other forms of IBD.

Liver involvement of HA20 has been reviewed by Deshayes *et al.* Since then and overall, it has
been described in 17 patients (10%) (Cao et al. 2023; Deshayes et al. 2021; Duncan et al. 2018;
Gans et al. 2020; He et al. 2020; Hori et al. 2019; Kim et al. 2020; Li et al. 2019; Rajamäki et
al. 2018; Schwartz et al. 2020; Takagi et al. 2018; Takagi et al. 2017; Taniguchi et al. 2021;
Yan et al. 2021; Zheng et al. 2018). The severity ranged from elevated liver enzymes to
cryptogenic cirrhosis with liver failure. However, the prevalence of subclinical liver disease

may be underreported. When specified, the histologic analyses revealed interface hepatitis with
lymphocyte infiltration and fibrosis.

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#### 4 D. Skin manifestations

Skin features were reported in 76 patients (43%). The most frequent manifestations were 5 pseudo/folliculitis, pustules, acne-like lesions and dermal abscesses of the face and the trunk 6 (29 patients, 16%). Seventeen patients (10%) displayed non-specific salmon or erythematous 7 rash, with skin biopsies showing a neutrophilic or lymphocytic infiltrate without sign of 8 9 vasculitis. Psoriasis, vitiligo, and urticaria were described in 4 patients each (Alhebshi et al. 2020; Berteau et al. 2019; Berteau et al. 2019; Harris et al. 2018; Kadowaki et al. 2018; Niwano 10 et al. 2022; Rajamäki et al. 2018; Zanatta et al. 2022) (cold urticaria in 3 of the same family, 11 and unspecified in 1). 2 patients had displayed local skin reactions after vaccination (Crustose 12 after BCG vaccine and severe swelling after pneumococcal unconjugated vaccine) (Berteau et 13 al. 2019; Hori et al. 2019). Malar rash and alopecia was described in 4 different patients, 3 of 14 which were diagnosed with SLE (Aeschlimann et al. 2018; Shaheen et al. 2021; Zhang et al. 15 16 2022; Zhang et al. 2021). Panniculitis was described in 10 patients, including erythema nodosum in 8 (Chen et al. 2020a; He et al. 2020; Kadowaki et al. 2018; Sato et al. 2018; Tian 17 et al. 2022; Tsuchida et al. 2019), necrotic panniculitis of the limbs in 1 (Zanatta et al. 2022) 18 and generalized erythematous wheal-like patches in 1 patient (Kim et al. 2020). Seven patients 19 exhibited a vasculitic rash, including 3 IgA vasculitis (Kadowaki et al. 2018; Viel et al. 2018; 20 Zhang et al. 2022), 2 unspecified vasculitic rashes (Papadopoulou et al. 2019; Zhang et al. 21 2022), 1 purpura (Aeschlimann et al. 2018) and 1 vasculitis of the extremities (Chen et al. 22 2020a). 3 Patients displayed eczema (Aeschlimann et al. 2018; Wu et al. 2021) and 1 skin 23 xerosis (He et al. 2020). Finally, other manifestations included geographic tongue (n=2) 24 (Aeschlimann et al. 2018), pityriasis rosea (Aeschlimann et al. 2018), pernio-like lesion 25 (Tsuchida et al. 2019), Raynaud phenomenon (Tsuchida et al. 2019), toenail ulcer (Liu et al. 26 2023), aquagenic acrokeratoderma (Ohnishi et al. 2017), neutrophilic dermatosis (Franco-27 Jarava et al. 2018) and Steven-Johnson syndrome (Aeschlimann et al. 2018)(n=1, each). Skin 28 features were never isolated, and were associated with joint and/or GI symptoms in most cases 29 (60 patients) or with autoimmunity, CNS or cardiovascular involvements in 14 other cases. 30 Overall, 44 patients had history of fever and 55 had associated mouth ulcers, including 29 with 31 genital ulcers. 32

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## E. Auto-immunity

Autoantibodies and/or autoimmune disease (AID) have been described in 60 patients (34%).
The most frequently described were anti-thyroid autoantibodies (28 patients, 16%) and
manifested themselves as an authentic Hashimoto thyroiditis in 24 patients (14%). Thyroiditis
was never isolated: 19 patients displayed associated mouth ulcers. The 9 remaining patients
exhibited associated GI involvements, genital ulcers and/or recurrent fever.

9 SLE had been diagnosed prior to HA20 diagnosis or as an associated disease in 16 patients, 13 of whom completed the SLICC criteria for SLE (Petri et al. 2012) (Aeschlimann et al. 2018; 10 11 Duan et al. 2019; He et al. 2020; Kadowaki et al. 2021a; Kadowaki et al. 2018; Kim et al. 2020; Li et al. 2019; Miyamoto et al. 2022; Papadopoulou et al. 2019; Shaheen et al. 2021; Su et al. 12 2021; Zhang et al. 2022). Fourteen of them were women. Lupus nephritis was diagnosed in 7 13 patients (Li et al. 2019; Papadopoulou et al. 2019; Su et al. 2021; Zhang et al. 2022; Zhang et 14 al. 2021). Median at first symptoms in patients diagnosed with SLE was 7.5 years old. 15 Symptoms suggestive of SLE included arthritis/arthralgia (n=9), hemolytic anemia (n=4), 16 lymphadenopathies (n=3), neuropsychiatric involvements (n=3), alopecia (n=2), malar rash 17 (n=2) and Raynaud's phenomenon (n=1). However, history of recurrent fever was described in 18 9 patients, lung involvements in 3 and retinal vasculitis in 2. In 1 patient, diagnosis of SLE was 19 questioned because of vertebral arthritis, sacroiliitis and pediatrics onset, leading to genetic 20 21 analysis and HA20 diagnosis (Zhang et al. 2021).

Sixteen additional patients exhibited anti-nuclear antibodies, including five with anti-dsDNA
antibodies and one with anti-extractable nuclear antigen antibodies (anti-RNP, anti-SSA/SSB,
anti-scl70).

Immune cytopenia was described in 11 patients (6%), including autoimmune hemolytic anemia
(9 patients)(Endo et al. 2022; Rossi et al. 2022; Su et al. 2021; Suri et al. 2021; Viel et al. 2018;
Zhang et al. 2022; Zhang et al. 2021) and immune thrombocytopenia (4 patients)(Alhebshi et al. 2020; Endo et al. 2022; Viel et al. 2018; Wu et al. 2021). Immune cytopenia was associated with mouth/genital ulcers in 5 cases, fever in 3 cases, joint features and/or lymphadenopathies in 4 cases, and developmental delay in 3.

Five patients were diagnosed with 2 definite AIDs (Thyroiditis and type I diabetes n=2,
 Thyroiditis and haemolytic anemia n=1, SLE and thyroiditis n=1, SLE and type I diabetes n=1),
 and 7 families exhibited ≥2 AID in a single family.

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## F. Joint and musculoskeletal manifestations

Joint involvements were reported in 54 patients with HA20 (31%). Inflamed site was 6 unspecified in 35 cases, affected large joints (wrists, ankles, knees, hip) in 12 cases (Berteau et 7 al. 2019; El Khouri et al. 2023; Kim et al. 2020; Rossi et al. 2022; Tian et al. 2022; Tsuchida et 8 9 al. 2019; Zhang et al. 2022; Zhang et al. 2022; Zou et al. 2020) and small joints in 4 (Hands, feet) (Aeschlimann et al. 2018; Berteau et al. 2019; Rossi et al. 2022; Tian et al. 2022). 10 Sacroiliitis was described in 2 patients (Su et al. 2021; Zhang et al. 2021). Finally, 1 patient 11 exhibited unspecified osteomyelitis while diagnosed with chronic granulomatous disease 12 (CGD)(Suri et al. 2021). Among the patients with arthritis/arthralgia, none presented with 13 rheumatoid-arthritis specific antibodies (rheumatoid factor, anti-CCP antibodies), except for a 14 child with isolated sacroiliitis and rheumatoid factor (Su et al. 2021). Thirteen displayed anti-15 16 nuclear antibodies, and eight of them additionally displayed anti-dsDNA antibodies and antinuclear nuclear antigens (anti-ENA). Synovial fluid examination was not described. There has 17 been no description of destructive arthritis or joint deformity. Of the 54 patients with 18 involvement of the joints, 30 had GI involvement and 28 had skin features, which might 19 distinguish HA20 from more common rheumatic diseases. Moreover, 40 patients displayed 20 mouth ulcers, including 25 with genital ulcers. 21

# 22 *G. Lymphadenopathy*

23 Lymphadenopathies were described in 25 patients (14%) (Deshayes et al. 2021; Dong et al. 2019; Endo et al. 2022; Endo et al. 2020; Franco-Jarava et al. 2018; Hori et al. 2019; Jo et al. 24 2022; Kadowaki et al. 2021a; Kadowaki et al. 2018; Liu et al. 2023; Rossi et al. 2022; Shaheen 25 et al. 2021; Sun et al. 2022; Takagi et al. 2017; Tian et al. 2022; Tsuchida et al. 2019; Zhang et 26 al. 2022; Zou et al. 2020). They were located in the cervical area for 10 of them, the axillary 27 and popliteal area in 1 each, and generalized in 11. Two patients had a probable diagnosis of 28 29 autoimmune lymphoproliferative syndrome (ALPS) according to the 2010 diagnostic criteria (Endo et al. 2022; Oliveira et al. 2010; Takagi et al. 2017). They both exhibited chronic 30 31 lymphadenopathies, elevated TCR $\alpha\beta$ + double-negative T cells and elevated serum FASL levels. Genetic testing for mutations in ALPS-associated genes was unconclusive in both 32

# patients, including *FAS*, *FASL*, *KRAS*, *NRAS*, *PRKCD*, *PI3KCD*, *CTLA4*. (Endo et al. 2020; Takagi et al. 2017). Histological analyses of lymph nodes showed follicular hyperplasia with increased numbers of neutrophils and lymphocytes or necrotizing adenitis.

4

# 5 *H. CNS manifestations*

6 Seventeen patients (10%) displayed a wide spectrum of CNS manifestations, which causative
7 relation to HA20 remains to be established.

8 Cerebral vessels were involved in four patients; 2 had small vessel vasculitis, and 2 had
9 unspecified stroke during childhood, one of which additionally displayed central venous
10 thrombosis (Aeschlimann et al. 2018; He et al. 2020).

Four patients had inflammatory CNS involvements, including two aseptic meningitis and another unspecified neuroinflammation (Hori et al. 2019; Schwartz et al. 2020; Shaheen et al. 2021). Finally, 1 patient exhibited intracerebral calcifications and aseptic necrotizing granulomatous mass lesions in the paracentral lobule and the thalamus with elevated expression of interferon-stimulated genes (ISG) suggesting interferonopathy (Mulhern et al. 2019).

16 Five additional patients exhibited developmental disorder without cause (developmental delay

17 n=5, ADHD n=1, autism n=1) (Aeschlimann et al. 2018; Franco-Jarava et al. 2018; Su et al.

18 2021; Sun et al. 2022; Viel et al. 2018; Wu et al. 2021). Interestingly, 3 of the 5 patients with

19 neurodevelopmental delay displayed large deletions of chromosome 6 (>5.5MB), therefore

20 these manifestations may not be caused by HA20.

21 The other manifestations were included Epilepsy (n=2), craniopharyngioma (n=1), Type II

22 Arnold-Chiari malformation (n=1), cerebral palsy due to neonatal cardiac arrest (n=1)(Duan et

al. 2019; Hori et al. 2019; Kadowaki et al. 2021a; Suri et al. 2021).

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# 25 I. Ophtalmologic manifestations

Ocular involvements of HA20 have recently been reviewed by Maccora et al (Maccora et al. 2021). Since then and overall, they affected 13 patients. Uveitis was described in 8 of them (anterior n=5, unspecified n=3) (Aeschlimann et al. 2018; El Khouri et al. 2023; Mulhern et al. 2019; Papadopoulou et al. 2019; Schwartz et al. 2020; Tian et al. 2022; Tsuchida et al. 2019) and three displayed retinal vasculitis (Aeschlimann et al. 2018; He et al. 2020). Finally, 2 had episcleritis and one had conjunctivitis, palpebral ulceration, and chorioretinitis, each (Berteau et al. 2019; El Khouri et al. 2023; Jiang et al. 2022; Mulhern et al. 2019; Ohnishi et al. 2017).

#### Journal Pre-proo

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#### J. Lung manifestations

Ten patients (6%), including 8 women, had lung involvements. It consisted in interstitial lung 3 disease in 5 patients, one of which during the course of hemophagocytic lymphohistiocytosis 4 (HLH), and pulmonary nodules on CT imaging in 4 patients (Duncan et al. 2018; He et al. 2020; 5 Hori et al. 2019; Li et al. 2019; Rajamäki et al. 2018; Yan et al. 2021; Zhang et al. 2022). One 6 7 additional patient had bilateral pneumonia of unknown origin (Duan et al. 2019). Improvement after treatment was described in 6 patients. None progressed to lung fibrosis. Lung 8 involvements were not isolated and were associated with mouth ulcers and/or autoimmunity in 9 6 patients each. 10

11

## 12 K. Cardiovascular manifestations

Cardiovascular manifestations were described in 14 patients (8%). Pericarditis was found in 5 13 patients, 3 of which were possibly not related to the immunological disease (infectious 14 pericarditis, anasarca) (Aeschlimann et al. 2018; Deshayes et al. 2021; Li et al. 2019; Zhang et 15 al. 2022). Vascular involvements were rare (5 patients, 3%). Veinous involvements were 16 present in 4 patients and included pulmonary embolism caused by catheter-related veinous 17 thrombosis, bilateral lower limbs thrombophlebitis, superficial thrombophlebitis and cerebral 18 19 venous thrombosis (Aeschlimann et al. 2018; Berteau et al. 2019; He et al. 2020). All 4 patients displayed mouth ulcers and 3 of them (75%) qualified as Behçet's disorders according to the 20 International Criteria for Behcet Disease (ICBD) (Disease (ITR-ICBD) et al. 2014). However, 21 3 had recurrent fever, 2 had GI and 2 lung involvements. Arterial involvements mostly affected 22 23 the CNS (strokes n=2), although one patient was described with systemic polyarteritis nodosalike vasculitis causing myocardial and kidney infarction as well as diffuse aneurisms (Niwano 24 et al. 2022). Finally, 2 patients were described to have unspecified CNS vasculitis and 1 child 25 to suffer from aortic valve insufficiency (Kadowaki et al. 2018). 26

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#### 28 L. Immunodeficiency

Nine patients (5%) were described to have definite immunodeficiency (Aeschlimann et al.
2018; Dong et al. 2019; Gans et al. 2020; Rajamäki et al. 2018; Shaheen et al. 2021; Suri et al.
2021). Recurrent bacterial and/or chronic viral infections were described in 5 of them, including

recurrent atypical pneumonia, ear and urinary tract infections, chronic EBV and papillomatosis. 1 Immunological investigations revealed humoral deficiency in 5 patients (3%), Natural Killer 2 cell (NK) deficiency in 3 patients (2%) including 2 patients with combined immune deficiency. 3 Patients with humoral deficiency exhibited IgG subclass deficiency (n=5), low response to 4 pneumococcal vaccine (n=4), and B cell lymphopenia (n=3). Isolated IgG deficiency with 5 normal CD19 appeared in one patient after several years of treatment with hydroxychloroquine, 6 7 MMF, prednisone and rituximab for SLE and could be secondary to treatments (Shaheen et al. 2021). Finally, unspecified CGD was described in one patient (Suri et al. 2021). Three patients 8 required supplementation with intravenous immunoglobulin to reduce the number of infectious 9 episodes. 10

11 *M. Other* 

Ten patients from seven families had short stature (height < third percentiles) (He et al. 2020; Kim et al. 2020; Rossi et al. 2022; Zhang et al. 2022; Zhang et al. 2021). Six (60%) had never received corticosteroids before height evaluation. Two patients had evaluation of growth hormone that were normal. Therefore, short stature could be a consequence of prolonged systemic inflammation.

Two patients have been diagnosed with cancer; one patient with Hodgkin's lymphoma at the age of 21 years old, who later was diagnosed with craniopharyngioma at age 33, and the other one with diffuse large B-cell lymphoma at age 35 (Endo et al. 2022; Hori et al. 2019). Therefore, this review does not support the hypothesis of a higher risk of cancer in HA20 patients However, this result should be confirmed in cohort studies.

HLH was described in four patients. It was the first manifestation of HA20 in two of them,
which occurred very early in life, at age 3 and 4 months (Aslani et al. 2022). In two other
patients, HLH occurred 2 years after the onset of a systemic juvenile idiopathic arthritis (sJIA)like disease (Li et al. 2019; Sun et al. 2022). Whole exome sequencing was performed in all
patients, which revealed *TNFAIP3* mutations. The presence of mutations in HLH-related genes
was not detailed.

Three patients from 3 different families have been reported to have menstrual cycle anomalies,
including premature ovarian failure, late menarche and dysmenorrhea (Aeschlimann et al.
2018).

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#### 1 4/ Complications and mortality

Inflammatory (AA) amyloidosis has not yet been described as a complication of HA20. While
it may be explained by the young age of the patients described, the absence of AA amyloidosis
in other NF-κB-mediated autoinflammatory disease could suggest a low risk (Nigrovic et al.
2020).

Death was reported in 5 patients (3%). In 4 of them, death was thought to be a complication of
HA20 and occurred before adulthood. One patient died of upper airway hemorrhage due to
tonsillar ulcerations and carotid artery erosion at age 8 (Aeschlimann et al. 2018). One patient
died of cerebral hemorrhage complicating HLH at age 4 (Aslani et al. 2022), one patient died
of cytokine storm after hematopoietic stem cell transplant at age 15 (Wu et al. 2021), and one
from of systemic inflammatory response syndrome (Sun et al. 2022). Finally, one adult patient
with mild symptoms died of unknown cause (Dong et al. 2019).

#### 13 5/ Genotype/phenotype correlation

14 By comparing patients based on the type of variations (missense variants (n=41 (23.2%) vs. ones leading to no or truncated form of A20 (pLOF), n=135 (76.3%)) we showed that pLOF 15 variants were more associated to bipolar aphtosis, gastrointestinal involvement and 16 autoimmunity (Supplementary Table 4, p<0.005). By splitting patients in two groups based on 17 the localization of the protein affected by the mutations (OTU domain (n=92) vs. the other 18 domains (n=85)), patients affected in the OTU domain had more genital aphtosis (47.4% in the 19 OTU group vs. 20%, p<0.002) and skin involvement (53.3% in the OTU group vs. 31.8%, 20 p<0.01) while the other had an enrichment in CNS involvement (16.5 % vs 4.3%, p<0.02) 21 (Supplementary Table 5). 22

23 We then performed a multiple correspondence analysis (MCA) on clinical variables and the type of mutation (missense versus the other). By performing an unsupervised clustering (see 24 methods), we ended-up with 3 clusters (Figure 2). The supplementary table 5 shows the 25 repartition of the patients in each cluster with their clinical characteristics. Cluster 1 (n=108) 26 was driven by the over-representation of autoimmunity (n=51, 48.1%, p<0.001) and missense 27 variants (p<0.02). Cluster 2 (n=50) is driven by the presence of GI involvement (96%, p<0.001) 28 29 while patients in this group had less bipolar aphtosis than in cluster 3. Finally, patients in the cluster 3 (n=19) had a high proportion of skin (89.5%) and joint involvement (84.2%) with 30 31 almost all of them having bipolar aphtosis and a high proporition of GI involvement 32 (Supplementary Table 6).

#### 1

#### 2 6/ Biological findings

Inflammatory markers usually rise during flares, including leukocyte count and C-reactive
protein (CRP). CRP was elevated in 54 patients (87% of patients for which it was reported),
with a median of 62mg/L. CRP remained elevated outside of flares in 7 out of the 19 patients
for which it was specified. Hypergammaglobulinemia was observed in 13 patients with a
median of 26.3 g/l [14.3-31.1].

8 Expectedly, serum pro-inflammatory cytokines, including IL-1β, IL-17, IL-8, IL-6 and TNFα,
9 were elevated but were not systematically investigated.

Type I interferon signature was investigated in 21 patients from 6 different centers and was 10 elevated in 17 of them. The score was reported in 9 patients. The median value was 880% of 11 the respective laboratory normal values [382-1111]. The test was ordered before HA20 12 diagnosis in 3 patients; one with cerebral calcifications suggesting interferonopathy, one with 13 14 colitis and panniculitis, and one with recurrent stomatitis. In 5 patients, it was ordered because of refractory HA20 with various manifestations including colitis, "neuroinflammation", 15 membranous nephropathy and inflammatory liver disease. Finally, 8 patients were included in 16 a study aiming to describe ISG in HA20 patients. The disease of the three patients with normal 17 interferon signature was quiescent. Therefore, more data is needed to determine the predictive 18 value of this test. 19

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## 21 7/ Diagnosis

The diagnosis of HA20 relies in the detection of a germline variant in the gene *TNFAIP3* that is rare in the public databases and predicted to be deleterious *in silico*. More importantly previously unknown variants should be tested in vitro for their inhibition capacity towards NF- $\kappa$ B.

Previous diagnosis was described in 78 patients. Seventeen of them had received more than one diagnosis before HA20 diagnosis. Previous diagnoses included Behçet's disease (33 patients), Periodic fever, aphthous stomatitis, pharyngitis, and adenitis/PFAPA (9 patients), juvenile Idiopathic arthritis (8 patients), recurrent stomatitis (6 patients), Crohn's disease (6 patients), rheumatoid arthritis (5 patients) and connective tissue disease (3 patients). One patient was diagnosed with adult-onset still's disease, Kikuchi-Fujimoto disease, rheumatic fever,

Kawasaki disease, familial Mediterranean fever, IgA nephropathy and unclassified
 granulomatous neuroinflammatory disorder, respectively. Finally, 16 patients were diagnosed
 with systemic lupus erythematosus, although it can be considered as an associated diagnosis
 rather than a differential of HA20 (see autoimmunity).

5

## 6 **8/ Treatments**

To date, treatments in HA20 are not codified and are symptom oriented. The description of the
treatments and their effectiveness was heterogeneous, and it was not possible to draw any clear
conclusions. Treatments classified by predominant symptom and by therapeutic class are
presented below.

GI manifestations were treated according to the principles of IBD treatment, with heterogenous 11 12 responses (5-aminosalicylic acid n=9 (Berteau et al. 2019; He et al. 2020; Kadowaki et al. 2018; Li et al. 2019; Taniguchi et al. 2021; Tsuchida et al. 2019; Zheng et al. 2018), thalidomide n=13 13 14 (Chen et al. 2020a; He et al. 2020; Mitsunaga et al. 2022; Tian et al. 2022; Uchida et al. 2020; Zhang et al. 2022; Zheng et al. 2018), TNF blockers (n=17) (Duncan et al. 2018; Girardelli et 15 al. 2021; He et al. 2020; Hori et al. 2019; Kadowaki et al. 2018; Li et al. 2019; Mitsunaga et al. 16 2022; Ohnishi et al. 2017; Shimizu et al. 2020; Uchida et al. 2020; Wu et al. 2021; Zanatta et 17 al. 2022; Zhang et al. 2022; Zheng et al. 2018; Zou et al. 2020). 18 19 Joint manifestations were mainly treated by conventional and biologic disease-modifying anti-

rheumatic drug (cDMARDS and bDMARDs) including methotrexate (n=12, effective in 3), 20 azathioprine (n=4), sulfasalazine (n=3), ciclosporine (n=1) and TNF- $\alpha$  inhibitors (n=5) (Berteau 21 et al. 2019; Deshayes et al. 2021; El Khouri et al. 2023; He et al. 2020; Lawless et al. 2018; Li 22 23 et al. 2019; Ohnishi et al. 2017; Rossi et al. 2022; Shimizu et al. 2020; Su et al. 2021; Suri et al. 2021; Tian et al. 2022; Tsuchida et al. 2019; Uchida et al. 2020; Zhang et al. 2022; Zheng et 24 al. 2018). Moreover, 3 patients received tofacitinib and 2 received tocilizumab with partial to 25 good results (Schwartz et al. 2020; Mulhern et al. 2019; Ohnishi et al. 2017; Kadowaki et al. 26 2018). 27

28 Corticosteroids and NSAIDs were used in 32 patients and were useful in on-demand treatment

of inflammatory attacks as well as alleviating auto-immune flares (Berteau et al. 2019; Duan et

al. 2019; Duncan et al. 2018; El Khouri et al. 2023; Franco-Jarava et al. 2018; Girardelli et al.

2021; He et al. 2020; Kadowaki et al. 2021a; Kadowaki et al. 2018; Lawless et al. 2018; Li et

al. 2019; Mitsunaga et al. 2022; Mulhern et al. 2019; Ohnishi et al. 2017; Rossi et al. 2022; Su

et al. 2021; Tian et al. 2022; Tsuchida et al. 2019; Yan et al. 2021; Zanatta et al. 2022; Zhang

3 et al. 2022; Zheng et al. 2018).

Colchicine was used in 28 patients to relieve mucosal ulcers and inflammatory attacks, with 4 5 good response described in 10 patients; partial and/or transient in 4 patients, and ineffective in 5 patients (Berteau et al. 2019; Debeljak et al. 2023; El Khouri et al. 2023; Hori et al. 2019; 6 Horita et al. 2019; Jiang et al. 2022; Jo et al. 2022; Kadowaki et al. 2021a; Kadowaki et al. 7 2018; Lawless et al. 2018; Mitsunaga et al. 2022; Niwano et al. 2022; Shimizu et al. 2020; Suri 8 9 et al. 2021; Taniguchi et al. 2021; Tsuchida et al. 2019; Uchida et al. 2020; Wakatsuki et al. 2023). Thalidomide was used in 5 patients for mouth ulcers with a partial or good response in 10 4 of them. 11

12 TNF-inhibitors were prescribed 29 patients, mainly for IBD (n=17), arthritis (n=5), liver 13 involvement (n=3), lung involvement (n=2), bipolar ulcer, SLE and vasculitis (n=1, 14 respectively). Efficacy was good in 11 patients, partial and/or transient in 3 patients, and 15 ineffective in 2 patients. Tocilizumab have been used in 4 patients for joint inflammation (n=2), 16 systemic inflammation and IBD (n=1, respectively) with partial to good response in 3 of them 17 (Kadowaki et al. 2018; Lawless et al. 2018; Ohnishi et al. 2017; Uchida et al. 2020). Other 18 treatments included mycophenolate mofetil (n=5) and methotrexate (n=14).

JAK inhibitors have been used with good efficacy in 6 patients to treat CNS involvements,uveitis and polyarthritis, all of which had high ISG prior to treatment (Aeschlimann et al. 2018;

21 Mulhern et al. 2019; Schwartz et al. 2020).

Finally, four patients underwent hematopoietic stem cell transplantation (HSCT). Three had allogenic HSCT because of organ-damage uncontrolled with immunosuppressive agents. Complete chimerism was achieved in two patients with overall good outcome, despite the development of anti-GAD neuropathy in one (Shiraki et al. 2021b; Wu et al. 2021). On the contrary, one patient died of cytokine-mediated multi-organ injury a few hours after stem cell infusion (Wu et al. 2021). One patient had autologous HSCT for SLE-like CNS vasculitis, which allowed transient amelioration (Aeschlimann et al. 2018).

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#### 31 Discussion

In this systematic literature review we describe the clinical presentation of the 177 patients with 1 2 HA20 from 94 families published. While the reported number of patients worldwide is small, HA20 is probably more frequent than reported. First, several family members described in 3 paper were excluded because they had not undergone genetic testing while they had exhibited 4 symptoms that could suggest HA20. Moreover, TNFAIP3 sequencing may not be available 5 worldwide. The diagnosis of sJIA or Behcet's disease in HA20 patients may not have been 6 7 reconsidered, especially if the disease is controlled with standard therapy. Finally, we can 8 suspect that many diagnosed patients with HA20 are not published because the variant is 9 already known and/or the clinical picture is similar to what is already described. While patients were mainly originating from Asia and Europe, there is no evidence to suggest that HA20 may 10 have a geographical predominance, which is to be confirmed with the democratization of 11 sequencing techniques 12

The clinical spectrum of patients with HA20 is large and will probably broaden in the next few years with the generalization of *TNFAIP3* study. The core symptoms of HA20 include mouth, genital and gastrointestinal ulcerations, associated with unspecific joint manifestations and acne-like skin disease. However, most organs can be affected with lower frequency (CNS, lymph nodes, cardiovascular). Similarly, the severity of the disease ranges from asymptomatic to death-threatening organ-involvements.

This review summarizes the clinical picture of HA20 patients, which will allow to give better 19 criteria to order genetic testing. Up to now, of the 75 variants referenced in the infevers database 20 (https://infevers.umai-montpellier.fr/web/search.php?n=26), only 19 are validated to be 21 pathogenic and the pathogenic classification remains to be validated for 56 variants. In this 22 review, it is noteworthy that 5 patients had concomitant variants in other genes, and 7 had a 23 genetic deletion comprising other genes than TNFAIP3. Therefore, the presence of clinical 24 symptoms unusual to HA20 in patients with TNFAIP3 variant could suggest either another 25 26 variant in another gene or a complex mutation such as a large deletion of the chromosome 6. Indeed, to date, there are no validated criteria for the diagnosis of HA20. It is confirmed upon 27 the discovery of a heterozygous loss-of-function mutation in the gene TNFAIP3. When the 28 variant has not been previously classified as pathogenic (new private mutation, mutation rarely 29 reported in a healthy population), functional analysis should be performed to confirm its 30 31 pathogenicity, especially when the mutation is a missense mutation. One way to evaluate the mutated allele's ability to inhibit NF- $\kappa$ B activity is by ectopically expressing the mutant in a 32 cell line capable of monitoring NF-KB activity under various stimuli. If the mutated allele does 33

1 not hinder NF- $\kappa$ B activity, similar to transfecting an empty vector plasmid, it can be classified 2 as a loss-of-function allele. It is worth noting that assessing the capacity of patient cells to 3 activate NF- $\kappa$ B through various techniques is important but may not definitively establish the 4 mutation's role in TNFAIP3 in the observed phenotype. A combination of both ectopic 5 expression and primary cell analysis is always preferable.

6 The familial segregation should fit with a dominantly inherited and highly penetrant disease. 7 Of note, 4 subjects included in this review were asymptomatic and *TNFAIP3* variant was 8 discovered upon the diagnosis of one of their relative, including 2 who displayed gonadal 9 mosaicism. This result questions the diagnosis of patients with missense variants in *TNFAIP3* 10 that are not classified as loss-of-function. Whether these variants act as a predisposing factor of 11 an immune disease is an open question, but they may not drive the disease on their own. Further 12 functional research could improve the robustness of these diagnoses.

The diagnosis of HA20 should be suspected upon the existence of mouth ulcers/stomatitis of dominant inheritance that started early in life, especially when associated with typical skin features, findings of IBD, or the occurrences of several AIDs in the family.

16 While symptoms usually begin in childhood, HA20 can also be suspected in late-onset AID. In this series, first symptoms occurred during adulthood in 7% of patients. Moreover, patients with 17 18 "mild" symptoms such as mouth ulcers and acne may not report any symptoms because they 19 have become so accustomed to it. In the end, unless there is familial history of HA20, the clinical features of HA20 may not be specific enough to order a specific sanger genetic testing 20 of TNFAIP3 but rather a NGS panel of AID comprising TNFAIP3 or a whole-exome sequencing 21 followed by a list-of-genes-supervised analysis. It is noteworthy that familial history may be 22 lacking because of *de novo* mutations. However, genetic testing of both asymptomatic parents 23 should be done as gonadal mosaicism is possible and could impact genetic counselling. 24

We looked for a genotype-phenotype correlation. Although most patients will present with oral 25 aphtosis or fever that is not depending on the type of mutation (pLOF or missense for instance), 26 27 pLOF variants were more associated to bipolar aphtosis, GI involvement and autoimmunity. This could suggest that the level of loss-of-inhibition of NF-kB by missense variants is less 28 29 severe than pLOF ones. An unsupervised classification of patients in clusters was also performed to see if a pattern of characteristics may drive any groups. Interestingly, it ended 30 31 with three clusters, one of them being overrepresented with autoimmunity and less bipolar aphtosis, the two others being different by the presence of skin and joint manifestations (mainly 32

in cluster 3) and the presence of a GI involvement (more represented in cluster 2). Although the 1 percentage of variance in the dataset explained by this multiple correspondence analysis (MCA) 2 is weak this analysis emphasizes the variability of clinical presentation in HA20. Beyond the 3 scope of this work, it would be interesting to see if patients of these 3 clusters may respond 4 differently to treatments. Importantly, it should be noted that the majority of the reported 5 variants have not been tested in an ectopic expression system to precisely characterize whether 6 7 they are hypomorphic or not. While variants leading to early stop codons are highly predicted to be deleterious, missense variants in TNFAIP3 that have not been tested in vitro should be 8 approached with caution before definitively classifying them as causing HA20. Our results 9 differ from those of Chen et al, who had found a higher frequency of musculoskeletal disorders 10 in patient with variants disrupting both OTU and ZNF domains, and no difference for genital 11 ulcers, skin and CNS involvements. This discrepancy can be explained by the higher number 12 of patients included in our series (88 vs 177 patients), and the inclusion of all patients with 13 TNFAIP3 variants, counting those with concomitant gene variant. As in other monogenic 14 15 autoimmunity and autoinflammation, the wide variability of clinical expression in HA20 is challenging, including within the same variant or even in the same family. This strongly 16 suggests that additional factors are at play in the onset of the clinical phenotype that are not 17 elucidated yet, such as microbial triggers, microbiota modifications, second somatic events, and 18 epigenetic modifications. 19

In 2021, Kadowaki compared the clinical presentation of patients from inside and outside East Asia. They had found that patients from East Asia displayed more recurrent fever and less autoimmune diseases than patients from outside of East Asia, which is confirmed by our work (Kadowaki et al. 2021b). Several additional clinical items differed between geographical regions, including thyroiditis, skin features and genital ulcers although type of mutations did not differ between groups. These analyses are limited by the incompleteness and/or ambiguity of ethnic descriptions in the source articles, which resulted in the exclusion of 81 patients.

Men and women may exhibit different clinical symptoms of HA20. Unlike in Behçet's disease, women are significantly more at risk to develop genital ulcers (Cansu et al. 2016). On the other hand, men are more likely to suffer from both perineal inflammation and gastrointestinal tract ulcers. It is important to note that there are twice as many women described with HA20 than men. Several factors may explain this finding. First, men may have milder diseases for which they do not seek medical advice. Indeed, women exhibit twice as much genital ulcers and their painfulness could urge to consult. Moreover, the occurrence of genital ulcers may lead to further

investigations as differential diagnoses are limited (Mauskar et al. 2020). On the contrary, males
 harbor more perianal inflammation, which is a frequent conditions in the general population,
 therefore raising less suspicion of underlying systemic disease (Sahnan et al. 2017).

This work has several limitations intrinsic to its retrospective nature. First, the richness of 4 5 clinical data were highly heterogenous between studies. Little is known on variant penetrance in HA20. Moreover, as previously mentioned, variants' pathogenicity was not established in 6 76.8% of cases. Therefore, we have chosen to include all patients with a variant in TNFAIP3 7 gene regardless of their symptoms, including asymptomatic patients. Future work should 8 9 investigate the significance of untested variants to increase the phenotypic accuracy of HA20. Finally, multiple patients/families were reported in several different papers. However, efforts 10 were made to include all available data for each patient and to ensure that no patient was 11 12 included twice.

Elements of pathogenesis need to be clarified as HA20 presentation may drastically differ 13 between patients. While we have provided insight on a genotype/phenotype correlation in 14 HA20, the understanding of the specific involved immune pathways is needed to guide 15 therapeutic decisions. While strong systemic inflammation could suggest the use of cytokine 16 blockers (Lawless et al. 2018; Ohnishi et al. 2017), the presence of a high ISG could suggest 17 18 the use of JAK inhibitors (Miyamoto et al. 2022; Mulhern et al. 2019; Schwartz et al. 2020). Patients with HA20 had received several lines of treatments that were not necessarily described 19 in the papers and therefore the range and efficacy of these treatments requires further 20 descriptions, as clinical trials are unlikely to take place in a short future. 21

22

#### 1 Methods

2 A systematic review of clinical studies published in the medical literature was conducted to

- 3 retrieve case reports and case series reporting patients with TNFAIP3 mutation. This study was
- 4 not reviewed by an ethics committee, as all data used in this study were taken from previously
- 5 published articles.

6 Search strategy and selection process

MEDLINE was searched through PUBMED and EMBASE using the PRISMA guidelines (6) 7 from the first description of the disease in 2016 until August 2023, with no language restriction 8 9 or publication date limit. The search strategy included the following search terms: ("A20 haploinsufficiency") and ("TNFAIP3"). All articles describing patients with a mutation of 10 TNFAIP3 and their clinical symptoms were included. Untested obligate carriers were not 11 included. Only full-text articles in English were included. Information was extracted on 12 characteristics of trial participants, including sex, ethnicity and/or geographic origin, family 13 14 history, age at disease onset and diagnosis, mutational status, clinical and biological characteristics. Ethnicity and geographical origin were extracted when mentioned in the 15 16 original article. When unspecified, patients were classified according to country of publication. Geographical origin was classified as America, Europe, East Asia, West Asia and South Asia. 17

Articles were checked to detect patients described in duplicates to ensure all information 18 published on a patient was considered. We identified for inclusion in this review 68 articles 19 (Aeschlimann et al. 2018; Alhebshi et al. 2020; Aslani et al. 2022; Berteau et al. 2019; Cao et 20 al. 2023; Chen et al. 2020a; Debeljak et al. 2023; Deshayes et al. 2021; Dong et al. 2019; Duan 21 et al. 2019; Duncan et al. 2018; El Khouri et al. 2023; Endo et al. 2022; Endo et al. 2020; 22 Franco-Jarava et al. 2018; Gans et al. 2020; Girardelli et al. 2021; Harris et al. 2018; Hautala et 23 24 al. 2020; He et al. 2020; Hori et al. 2019; Horita et al. 2019; Imai et al. 2020; Jiang et al. 2022; Jo et al. 2022; Kadowaki et al. 2021a; Kadowaki et al. 2018; Kim et al. 2020; Lawless et al. 25 2018; Li et al. 2019; Liang et al. 2019; Liu et al. 2023; Mitsunaga et al. 2022; Miyamoto et al. 26 27 2022; Mulhern et al. 2019; Niwano et al. 2022; Ohnishi et al. 2017; Papadopoulou et al. 2019; Rajamäki et al. 2018; Rossi et al. 2022; Sato et al. 2018; Schwartz et al. 2020; Shaheen et al. 28 29 2021; Shigemura et al. 2016; Shimizu et al. 2020; Shiraki et al. 2021b; Shiraki et al. 2021a; Su et al. 2021; Sun et al. 2022; Suri et al. 2021; Suzuki et al. 2017; Takagi et al. 2018; Takagi et 30 31 al. 2017; Taniguchi et al. 2021; Tian et al. 2022; Tsuchida et al. 2019; Uchida et al. 2020; Viel et al. 2018; Wakatsuki et al. 2023; Wu et al. 2021; Yan et al. 2021; Ye et al. 2017; Zanatta et 32

al. 2022; Zhang et al. 2022; Zhang et al. 2021; Zheng et al. 2018; Zhou et al. 2016; Zou et al.
 2020) describing 177 patients (supplementary figure 1). Patients are detailed in supplementary

3 table 1)

4 Statistical analysis

5 The categorical variables were analyzed by using the chi-square test or Fisher's exact 6 probability test as appropriate. Continuous variables in two or three groups were compared 7 using the Mann-Whitney test or the Kruskal-Wallis test, respectively. The significance level 8 was set a p<0.05 for all analyzed data. Statistical analysis was performed with the online 9 application EasyMedStat (version 3.21.5 ; www.easymedstat.com). Clustering analysis are 10 described in supplementary files.

#### **11 Data availability statement**

12 The authors confirm that the data supporting the findings of this study are available within the

13 article and its supplementary materials.

14

#### **Conflict of interest** 1

2 The Authors declare no conflict of interest concerning this study.

#### 3 **Author contributions**

- Conceptualization: IE, SGL 4
- 5 Methodology: IE, SGL
- Data Curation, IE, QR 6
- Formal analysis: IE, QR 7
- Writing Original Draft: IE, QR 8
- Writing Review & Editing: IE, QR, GB, VH, FRL, SGL 9
- Supervision: SGL 10

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- 28
- 29

# 1 Table 1. Main features of the 177 HA20 patients.

	n=177 (%) or median [range]					
Women	109 (62)					
Age at publication	15 [1-71]					
Age at onset (years)	4 [0-35]					
Diagnostic delay (years)	7 [0-55]					
Dominantly inherited mutation	93/112 (82)					
General						
Fever	93 (54)					
Lymphadenopathy	25 (14)					
Mucosal inflammation	129 (73) 123 (69)					
Mouth ulcers						
Genital ulcers	63 (36)					
Gastrointestinal involvement	81 (46)					
Abdominal pain	48 (28)					
Diarrhea	41 (23)					
Bloody stool	21 (12)					
Gastrointestinal tract ulcer	43 (25) 27 (15)					
Perianal inflammation						
Liver involvements	17 (10)					
Skin features	76 (43)					
Pseudofolliculitis/Acne/Pustulosis						
Rash	17 (10)					
Panniculitis	10 (6)					
Auto-immunity	60 (34)					
Thyroïditis	24 (14)					
Lupus	16 (9)					
Immune cytopenia	11 (6)					
Type I diabetes	6 (3)					
Arthralgia/Arthritis	54 (31)					
Central nervous system involvement	17 (10)					
Cardiovascular involvement	14 (8)					
Pericardial effusion	5 (3)					
Venous thromboembolism	4 (2)					

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Eye involvement	13 (8)						
Anterior uveitis	8 (5)						
Retinal vasculitis	3 (2)						
Lung involvement	10 (6)						
Immune deficiency	9 (5)						
Elevated CRP during flares	54/63 (86)						
C-reactive protein (mg/L) in flare	51 [0-450]						

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# 1 Figure legends

- 2
- 3 Figure 1. Characteristics of HA20 patients described.
- 4 (a) Schematic representation of reported mutations in *TNFAIP3* gene. (b) Geographic
  5 distribution (c) Main clinical features.
- 6
- figure 2. Hierarchical clustering of HA20 patients based on their characteristics (multiple
  correspondence analysis, MCA).
- 9 Figure 2: Hierarchical clustering of HA20 patients based on their characteristics (multiple
- 10 correspondance analysis, MCA)
- 11 (a) Hierarchical tree (dendogram) indicating how patients (x-axis, n=159) are clusterd in three
- 12 groups (vertical lines). From left to right : cluster 1, 2 and 3.
- 13 (b) Heatmap showing the frequency of each characteristic among each cluster
- 14 (c) Factorial map where each dot represents one patient (n=159), colored based on the cluster
- 15 they belong to (MCA analysis)
- 16
- 17 Table legends
- 18 Table 1. Demographics and clinical features of the 177 HA20 patients included
- 19 (a) Map of described mutations of TNFAIP3. (b) Geographical distribution of HA20 patients
- 20 reported. (c) Representation of most frequent involvements in HA20.
- 21 Supplementary files.
- 22 Supplementary methods. clustering analyses
- 23 Supplementary figure 1. Prisma flowchart.
- We identified for inclusion in this review 68 articles describing 177 patients. The search of Medline database provided 4025 articles. After adjusting for duplicates, 1588 remained. Of these, 1474 were discarded after reviewing the title because they were irrelevant. Forty-two citations were excluded because they were reviews, letter to the editor or replies. The full texts of the remaining 72 citations were examined in more details. Four reports were excluded because the clinical data were not detailed. Patients described in duplicate (n=36) and triplicate (n=4) were included once. Finally, 177 patients from 66 reports were included.

- Supplementary Table 1. Detailed characteristics of the 177 patients included 1
- Supplementary Table 2. Type of variation and domain affected in HA20 patients 2
- 3 Supplementary Table 3. Clinical features according to reported ethnicity and sex
- Supplementary Table 4. Clinical features according to type of variation in TNFAIP3 (pLOF vs 4
- Missense) 5

8

- Supplementary Table 5. Clinical features according to A20 domain affected (OTU vs non-OTU) 6
- Supplementary Table 6. Clinical features according to clusters. 7

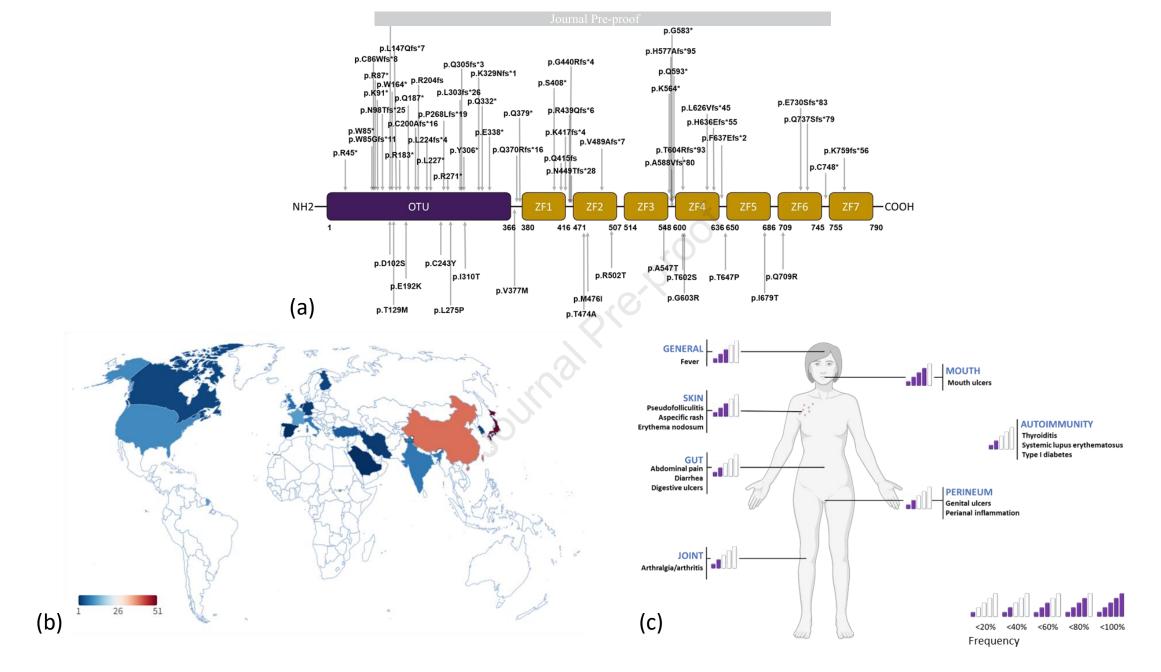


Figure 1. Characteristics of included patients with A20 haploinsufficiency (HA20)

(a) Map of described mutations of TNFAIP3. (b) Geographical distribution of HA20 patients reported. (c) Representation of most frequent involvements in HA20.

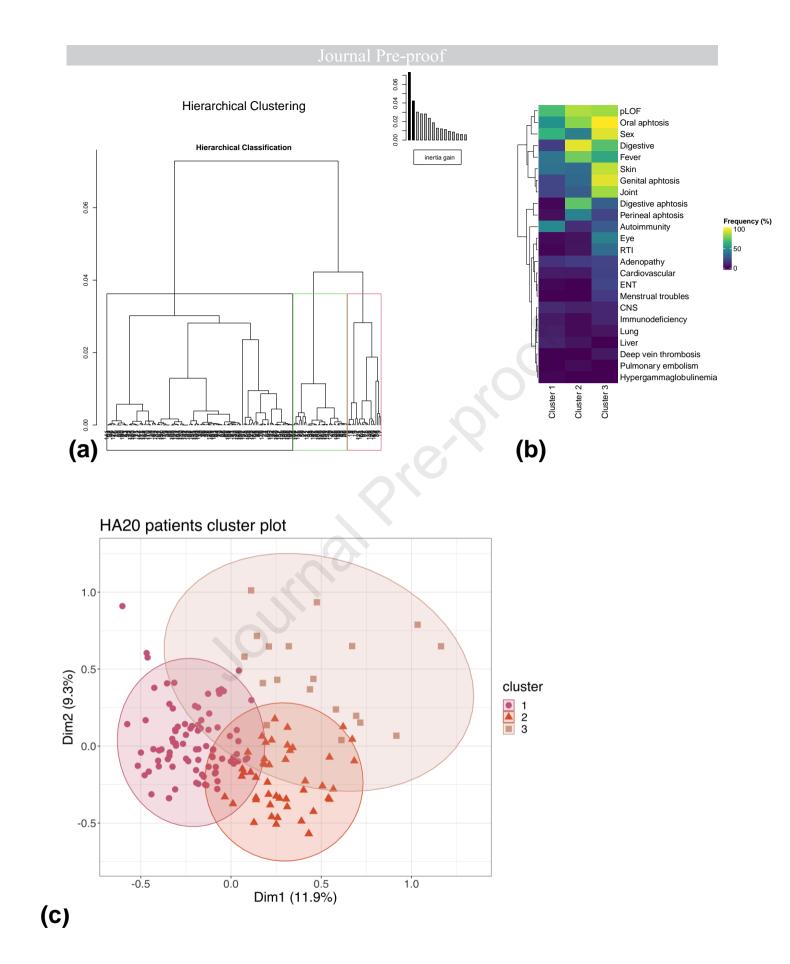


Figure 2: Hierarchical clustering of HA20 patients based on their characteristics (multiple correspondance analysis, MCA)

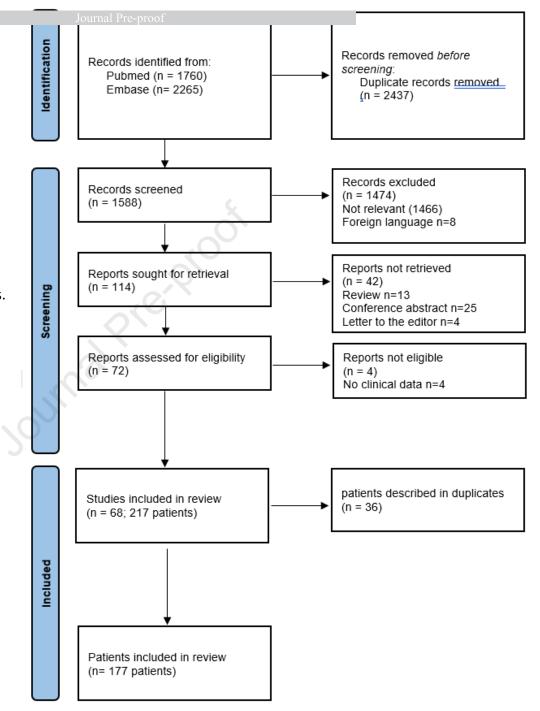
(a) Hierarchical tree (dendogram) indicating how patients (x-axis, n=159) are clusterd in three groups (vertical lines). From left to right : cluster 1, 2 and 3.

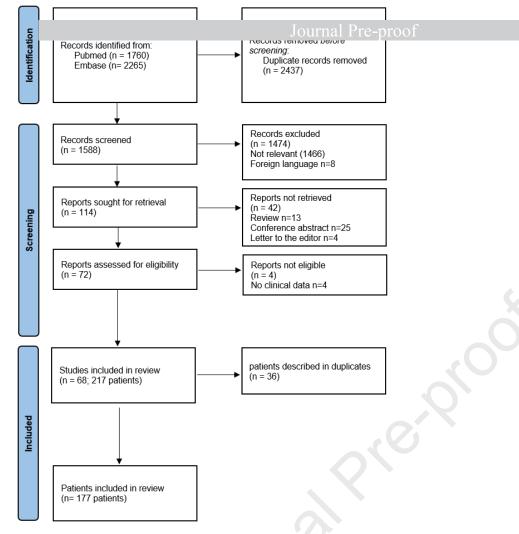
(b) Heatmap showing the frequency of each characteristic among each cluster

(c) Factorial map where each dot represents one patient (n=159), colored based on the cluster they belong to (MCA analysis)

Supp. figure 1. Prisma flowchart Supplementary figure 1. Prisma flowchart.

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#### Supplementary figure 1. Prisma flowchart.

We identified for inclusion in this review 68 articles describing 177 patients. The search of Medline database provided 4025 articles. After adjusting for duplicates, 1588 remained. Of these, 1474 were discarded after reviewing the title because they were irrelevant. Forty-two citations were excluded because they were reviews, letter to the editor or replies. The full texts of the remaining 72 citations were examined in more details. Four reports were excluded because the clinical data were not detailed. Patients described in duplicate (n=36) and triplicate (n=4) were included once. Finally, 177 patients from 66 reports were included.

#### Supplementary Table 3. Clinical features according to reported Georgaphic origin and sex

	East Asia	Europe	America	West Asia	South Asia	p-value	Female	Male	p-value
	n=103	n=39	n=16	n=10	n=8		n=108	n=69	
Women	60 (58)	25 (64)	13 (81)	5 (50)	5 (63)	0.43			
Fever	62 (62)	14 (33)	9 (64)	5 (50)	2 (29)	0.036	54 (53)	38 (55)	0.7
Genital ulcers	30 (29)	18 (46)	8 (50)	6 (60)	1 (13)	0.047	48 (44)	15 (22)	0.004
Perianal inflammation	20 (19)	3 (8)	3 (19)	0	0	0.2	10 (10)	17 (27)	0.01
Digestive tract ulcer	32 (31)	5 (13)	2 (13)	1 (10)	2 (25)	0.1	19 (18)	24 (35)	0.019
Skin features	35 (34)	23 (59)	12 (75)	3 (30)	2 (25)	0.003	53 (50)	23 (32)	0.056
Anterior uveitis	2 (2)	2 (5)	3 (12)	0	1 (13)	0.03	7 (6)	1 (2)	0.1
SNC involvement	5 (5)	3 (8)	4 (25)	2 (20)	2 (25)	0.015	12 (12)	5 (7)	0.45
Autoimmunity	26 (26)	20 (51)	7 (44)	6 (10)	1 (13)	0.01	41 (39)	20 (26)	0.28
Thyroiditis	8 (8)	12 (31)	1 (6)	3 (30)	0	0.003	19 (18)	5 (7)	0.07

#### Supplementary methods. clustering analyses

Clustering analysis was performed in K version 4.0.5 using the packages *FactoMineK*, *Jactoexra*, *ClusterK*, *cluster* and *missMDA* and visualization using ggplot2. Briefly, only categorical variables were kept in this analysis. Missing data were imputed by using the function imputeFAMD function from the *missMDA* package with the method "regularized". The (regularized) iterative FAMD algorithm first consists in coding the categorical variables using the indicator matrix of dummy variables. Then, in the initialization step, missing values are imputed with initial values such as the mean of the variable for the continuous variables and the proportion of the category for each category using the non-missing entries. Multiple correspondence analysis on categorical variables was performed with the MCA function without any modification in the function. Patients were clusterized based on this result with the function HCPC which performs an agglomerative hierarchical clustering on results from a factor analysis with "ward" method. The number of clusters was set to -1 meaning that the number was unsupervised. Visualization of results and analysis of differences between clusters was performed by using *TableOne*, *ggplot2*, and *ggrepel* packages. All methods used here is available on this website: <u>https://rpubs.com/nchelaru/famd</u>.

#### **Supplementary Tables**

Supplementary Table 1. Detailed characteristics of the 177 patients included
Supplementary Table 2. Type of variation and domain affected in HA20 patients
Supplementary Table 3. Clinical features according to reported ethnicity and sex
Supplementary Table 4. Clinical features according to type of variation in *TNFAIP3* (pLOF vs Missense)
Supplementary Table 5. Clinical features according to *A20* domain affected (OTU vs non-OTU)
Supplementary Table 6. Clinical features according to clusters.